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EXAMINER

WEINSTEIN, LEONARD J

P O Box 1450 Alexandria, Virgima 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

24504 7590 04/17/2009 THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP

600 GALLERIA PARKWAY, S.E. STE 1500 ATLANTA GA 30339-5994

PAPER NUMBER ARTHNIT 3746 DATE MAILED: 04/17/2009

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/531.405 04/14/2005 Ralf Heinrich Bode 821923-1030 5861

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	07/17/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

TITLE OF INVENTION: COMPRESSOR UNIT

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

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APPLICATION NO.	FILING DATE			FIRST NAMED INVE	NTOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/531,405	04/14/2005			Ralf Heinrich Bo	de			821923-1030	5861
TITLE OF INVENTION	: COMPRESSOR UNIT								
APPLN. TYPE	SMALL ENTITY	ISS	UE FEE DUE	PUBLICATION FEE	DUE	PREV. PAID ISSUE	FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO		\$1510	\$300		\$0		\$1810	07/17/2009
EXAM	INER		ART UNIT	CLASS-SUBCLAS	S				
WEINSTEIN,	LEONARD J		3746	417-423120					
"Fee Address" indi PTO/SB/47; Rev 03-0 Number is required. 3. ASSIGNEE NAME A	ondence address (or Cha 3/122) attached. ication (or "Fee Address 2 or more recent) attach	nge of C " Indica ed. Use	Correspondence tion form of a Customer E PRINTED ON 3	(I) the names of or agents OR, alte (2) the name of a registered attorne 2 registered paten listed, no name w ITHE PATENT (print data will appear on T a substitute for filir	up to ernative single y or a tt attor ill be or typ the pe	e firm (having as a agent) and the name meys or agents. If r printed. ee) atent. If an assigne assignment.	membes of u	er a 2	ocument has been filed for
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	s SMALL ENTITY state	is. See 3	37 CFR 1.27.					ITTY status. Sec 37 CI	
NOTE: The Issue Fee and interest as shown by the r	d Publication Fee (if req ecords of the United Sta	uired) w tes Pate	rill not be accepted nt and Trademark	d from anyone other to Office.	than t	he applicant; a regis	stered a	attorney or agent; or th	e assignee or other party in
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24504 75	90 04/17/2009		EXAMINER			
THOMAS, KAY	DEN, HORSTEMEY	WEINSTEIN, LEONARD J				
600 GALLERIA P.	ARKWAY, S.E.	ART UNIT	PAPER NUMBER			
STE 1500 ATLANTA GA 30	339-5994		3746			

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 483 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 483 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Application No. Applicant(s) 10/531,405 BODE ET AL. Notice of Allowability Examiner Art Unit LEONARD J. WEINSTEIN 3746 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to 01/13/2009. 2. The allowed claim(s) is/are 1 and 3-12. 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). b) ☐ Some* c) ☐ None of the: a) X All 1. T Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. \(\overline{\text{Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). * Certified copies not received: _____. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. | Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application 2. Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date Information Disclosure Statements (PTO/SB/08). 7. X Examiner's Amendment/Comment Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit 8. X Examiner's Statement of Reasons for Allowance of Biological Material

/Leonard J Weinstein/

Examiner, Art Unit 3746

Supervisory Patent Examiner, Art Unit 3746

9. ☐ Other ____.

/Devon C Kramer/

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DETAILED ACTION

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on December 16, 2008 has been entered.

The examiner acknowledges the amendments to claims 1, 11, and 12.

EXAMINER'S AMENDMENT

3. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

- Claim 1 will be amended to:
 - A compressor unit, comprising: a <u>multistage</u> centrifugal compressor for compressing a gas and an electric motor having a stator and a rotor for driving the <u>multistage centrifugal</u> compressor, the rotor being supported by a first and second magnetic radial bearings each magnetic radial bearing being arranged at opposite ends of the rotor and <u>a</u> magnetic thrust bearing arranged adjacent to one of the <u>first or second</u> two magnetic radial bearings, the multistage centrifugal compressor and the electric motor being

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accommodated in a common gastight housing which is provided with a gas inlet and a gas outlet, the stator being accommodated and isolated from the multistage centrifugal compressor in a separate stator space, which is delimited by a toroidally shaped wall section, radially and axially surrounding the stator, of the common gastight housing of the compressor unit, a gastight partition which extends between the stator and the rotor of the electric motor. and - at least one a first end wall which extends between the gastight partition and the common gastight housing of the compressor unit and at least one further a second end wall arranged opposite the first end wall and configured to isolate the stator from the compressor in cooperation with the gastight partition and the first end wall, wherein the gastight partition extends freely between the stator and the rotor of the electric motor and comprises a material of sufficiently high strength for it to remain clear of the stator and the rotor under working pressures of the gas which may occur inside the common gastight housing, wherein the high-strength material of the gastight partition comprises a fibre-reinforced plastic.

The examiner notes that per the applicant's arguments on page 7 of the amendment of December 16, 2008 the limitation of "a centrifugal compressor" is being amended to be "a <u>multistage</u> centrifugal compressor." The examiner notes that the applicant argued that with respect to claim 1, the prior art did not teach a <u>multistage</u> compressor (among other features) and the examiner agrees. This aspect of the invention is considered to be one distinguishing aspect of the

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limitations as claimed, and given the applicant's arguments and the amendments to claims 11 and 12 in which the limitation of "a centrifugal compressor" was amended to be "a centrifugal <u>multistage</u> compressor" and "a <u>multistage</u> centrifugal compressor" respectively, it is appropriate to incorporate the same amendment to claim 1.

- 5. Claims 3-7, 9, and 10 The limitation of
 - "partition"

in each claim will be amended to be:

- --- gastight partition ---
- Claim 8 The limitations of
 - "in which the partition and the end wall are separate parts"

will be amended to:

- --- in which the <u>gastight</u> partition and the <u>first and second</u> end wall<u>s</u> are separate parts ---
- Claim 11 The limitations of
 - "A method of producing a partition for a compressor unit, the compressor unit comprising a centrifugal multistage compressor for compressing a gas and an electric motor having a stator and a rotor for driving the compressor, the rotor being supported by a first and second magnetic radial bearings each magnetic radial bearing being arranged at opposite ends of the rotor and magnetic thrust bearing arranged adiacent to one of the two magnetic radial bearings, the compressor

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and the electric motor being accommodated in a common gastight housing which is provided with a gas inlet and a gas outlet, the stator being accommodated in a separate stator space, which is delimited by a wall section, surrounding the stator, of the housing of the compressor unit, a gastight partition which extends between the stator and the rotor of the electric motor, and at least one end wall which extends between the partition and the housing of the compressor unit, wherein the partition extends freely between the partition and the housing of the compressor unit, wherein the partition extends freely between the stator and the rotor of the electric motor and comprises a material of sufficiently high strength for it to remain clear of the stator and the rotor under working pressures of the gas which may occur inside the housing, wherein the high-strength material of the partition comprises a cured fibre-reinforced plastic, in which the partition comprises a separate inner layer and outer layer"

will be amended to:

- --- A method of producing a <u>gastight</u> partition for a compressor unit, the compressor unit comprising a <u>sentrifugal</u> multistage <u>centrifugal</u> compressor for compressing a gas and an electric motor having a stator and a rotor for driving the <u>multistage centrifugal</u> compressor, the rotor being supported by a first and second magnetic radial bearings each magnetic radial bearing being arranged at opposite ends of the

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rotor and a magnetic thrust bearing arranged adjacent to one of the first and second magnetic radial bearings, the multistage centrifugal compressor and the electric motor being accommodated in a common gastight housing which is provided with a gas inlet and a gas outlet, the stator being accommodated in a separate stator space, which is delimited by a wall section, surrounding the stator, of the common gastight housing of the compressor unit, a gastight partition which extends between the stator and the rotor of the electric motor, and at least one end wall which extends between the gastight partition and the common gastight housing of the compressor unit, wherein the gastight partition extends freely between the stator and the rotor of the electric motor and comprises a material of sufficiently high strength for it to remain clear of the stator and the rotor under working pressures of the gas which may occur inside the common gastight housing, wherein the high-strength material of the gastight partition comprises a cured fibre-reinforced plastic, in which the gastight partition comprises a separate inner layer and outer layer --

Claim 12 - the limitations of:

 "a compressor unit, the compressor unit comprising a multistage centrifugal compressor for compressing a gas and an electric motor having a stator and a rotor for driving the compressor, the rotor being supported by a first and second magnetic radial bearings each

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magnetic radial bearing being arranged at opposite ends of the rotor and magnetic thrust bearing arranged adjacent to one of the two magnetic radial bearings, the compressor and the electric motor being accommodated in a common gastight housing which is provided with a gas inlet and a gas outlet, the stator being accommodated and isolated from the compressor in a separate stator space, which is delimited by a wall section, radially and axially surrounding the stator, of the housing of the compressor unit, a gastight partition which extends between the stator and the rotor of the electric motor, and at least one end wall which extends between the partition and the housing of the compressor unit and a further end wall arranged opposite the end wall and configured to isolate the stator from the compressor in cooperation with the partition and the end wall, wherein the partition extends freely between the stator and the rotor of the electric motor and comprises a material of sufficiently high strength for it to remain clear of the stator and the rotor under working pressures of the gas which may occur inside the housing, wherein the high-strength material of the partition comprises a fibre-reinforced plastic"

will be amended to:

 --- the <u>multistage centrifugal</u> compressor, the rotor being supported by a first and second magnetic radial bearings each magnetic radial bearing being arranged at opposite ends of the rotor and a magnetic

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thrust bearing arranged adjacent to one of the two magnetic radial bearings, the multistage centrifugal compressor and the electric motor being accommodated in a common gastight housing which is provided with a gas inlet and a gas outlet, the stator being accommodated and isolated from the multistage centrifugal compressor in a separate stator space, which is delimited by a wall section, radially and axially surrounding the stator, of the common gastight housing of the compressor unit, a gastight partition which extends between the stator and the rotor of the electric motor, and at least one a first end wall which extends between the gastight partition and the common gastight housing of the compressor unit and at least one further a second end wall arranged opposite the first end wall and configured to isolate the stator from the compressor in cooperation with the gastight partition and the first end wall, wherein the gastight partition extends freely between the stator and the rotor of the electric motor and comprises a material of sufficiently high strength for it to remain clear of the stator and the rotor under working pressures of the gas which may occur inside the common gastight housing, wherein the high-strength material of the gastight partition comprises a fibre-reinforced plastic ---

Allowable Subject Matter

- Claims 1 and 3-12 are allowed.
- 10. The following is an examiner's statement of reasons for allowance:

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a. With respect to claims 1 and 12 the prior art fails to teach or suggest the limitations of a multistage centrifugal compressor or method of compressing gas with a multistage centrifugal compressor comprising a rotor supported by first and second magnetic radial bearings arranged at opposite ends of a rotor, a magnetic thrust bearing arranged adjacent to one of the first and second radial magnetic bearings, in combination with a stator accommodated and isolated from the compressor in a separate stator space wherein the stator space is delimited by a wall section radially and axially surrounding the stator and a gastight partition which extends between the stator and the rotor wherein a first and second end wall are arranged opposite to one another and configured to isolate the stator from the compressor in cooperation with gastight partition, wherein the gastight partition comprises a high strength material of fibre-reinforced plastic.

The prior art of Kullik DE 19904119 fails to teach a compressor that is a multistage compressor, a compressor supported by magnetic radial bearings and a magnetic thrust bearing, and a partition and two end walls which isolate a stator. Kullik instead teaches a single stage compressor, gas slide bearings, and a cylindrical can with one end wall to isolate a stator.

The prior art of Dunning 3,951,573 teaches a single stage compressor supported axially by a gas slide bearing, and radially by a journal bearing. Dunning does not teach a multistage compressor comprising magnetic radial bearings and a magnetic thrust bearing.

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h. With respect to claims 11 the prior art fails to teach or suggest the method of producing a gastight partition for a compressor unit including a multistage centrifugal compressor including a rotor supported by first and second magnetic radial bearings, a magnetic thrust bearing adjacent to one of the first and second magnetic radial bearings, a gastight partition formed from cured fibre-reinforced plastic which extends between the stator and the rotor wherein the method includes the steps of producing an inner layer and a outer layer of a gastight partition separately in the form of an inner shell and an outer shell, the external diameter of the inner shell, under the same pressure and temperature, being larger that the internal diameter of the outer shell, the step of temporarily increasing the diameter of the outer shell by means of gas or liquid pressure or temporarily reducing the diameter of the inner shell by lowering the temperature so that it is possible to push the inner shell into the outer shell, and restoring the diameter of one of the inner or outer shells that has been altered by restoring a pressure or temperature.

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The prior art of the Dunning, Brunet et al. US 6,350,109, and Lee et al. US 6,336,986 in combination, does not teach the method claimed for a compressor comprising radial magnetic bearings and a magnetic thrust bearing. Further the method relied on in Lee is for a curing stage for producing a composite tube in which an axial compressive force is applied to a metal shaft while co-curing the metal shaft with a composite material layer which has had a thermal shrinkage tube placed on it. The method claimed does not required an axial force to be

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applied to either of an inner or outer shell and the material forming the inner and outer shells has already been cured (pg. 7 II. 4-22 of PCT/NL03/00692 and ¶0028 of the substitute specification submitted on April 14, 2005 for the instant application).

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to LEONARD J. WEINSTEIN whose telephone number is (571)272-9961. The examiner can normally be reached on Monday - Thursday 7:00 - 5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Devon Kramer can be reached on (571) 272-7118. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Devon C Kramer/ Supervisory Patent Examiner, Art Unit 3746

/Leonard J Weinstein/ Examiner, Art Unit 3746